Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. M61.12-0261 UTILITY First Inventor or Application Identifier Timothy J. Brookins PATENT APPLICATION METHOD AND SYSTEM FOR MANAGING LONG Title TRANSMITTAL **RUNNING TRANSACTIONS** (Only for new non-provisional applications under 37 C.F.R. § 1.53(B)) Express Mail Label No. EV178019002US Mail Stop Patent Application **Commissioner for Patents** APPLICATION ELEMENTS Address To: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 \boxtimes *Fee Transmittal Form e.g., PTO/SB17) CD-ROM or CD-R in duplicate, large table or 1. (Submit an original and a duplicate for fee processing) Computer program (Appendix) 2. Applicant Claims small entity status Nucleotide and/or Amino Acid Sequence Submission $|\mathbf{x}|$ 62 *1* (If applicable, all necessary) Specification [Total Sheets 3. (preferred arrangement set forth below Descriptive title of the Invention) ☐ Computer Readable Copy Specification Sequence Listing on: - Cross References to Related Applications b - Statement Regarding Fed sponsored R & D i. CD-ROM or CD-R (2 Copies); or Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention C. ☐ Statement verifying identity of above copies - Brief Description of the Drawings (if filed) - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) \times Assignment Papers (cover sheet & document(s)) - Abstract of the Disclosure 37 C.F.R. § 3.73(b) Statement ➤ Power of 10 (when there is an assignee) Attorney 11. English Translation Document (if applicable) \boxtimes Drawing(s) (35 U.S.C. § 113) [Total Sheets | 18] Information Disclosure Copies of IDS 12. Citations Statement (IDS)/PTO - 1449 13 Oath or Declaration [Total Sheets Preliminary Amendment 5. \boxtimes Return Receipt Postcard (MPEP 503) 14. a. Newly executed (original or copy) (Should be specifically itemized) b. Copy from a prior application (37 C.F.R. § 1.63(d)) Certified Copy of Priority Document(s) 15 (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Nonpublication Request Under 35 USC 122 16. Signed statement attached deleting (b)(2)(B)(i). Applicant must attach form PTO/SB/35 inventor(s) named in the prior application, or its equivalent see 37 C.F.R. §§1.63(d)(2) and 1.33(b). Other: Checks \$750.00 and \$40.00 17. 6 \boxtimes Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an 18. Application Data Sheet under 37 CFR 1.76: □ Divisional ☐Continuation –in part (CIP) of prior application No: ☐ Continuation Group/Art Unit: Prior application information Examiner FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Christopher R. Christenson Name WESTMAN CHAMPLIN & KELLY Suite 1600 - International Centre Address 900 South Second Avenue 55402-3319 MN Minneapolis City State Zip Code USA (612) 334-3222 (612) 334-3312 Country Telephone

Name (Print/type)	Christopher,R. Christenson	Registration No. (Attorney/Agent)	42,413
Signature		Date	7/18/03

		Comple	te if Knov	wn		
FEE TRANSMITTAL	Application No.					
	Filing Date			July 18, 2003		
	First Named Inventor		r -	Timothy J. Brookins		
	Title		1	METHOD AND SYSTEM FOR MANAGING LONG RUNNING TRANSACTIONS		
	Group	Group Art Unit				
	Examiner Name		-			
Total Amount of Payment \$ 750	Atty. Docket Number		1	M61.12-0261		
METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)					
1. X The Director is hereby authorized to charge any additional fee	3. ADDITIONAL FEES					
required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.	Large Fee	Entity Fee	Small Fee	l Entity Fee		
2. X Check Enclosed	Code	(\$)	Codé	(\$)		
2. El Circux Eliciosed		130	2051	- 6	Surcharge - Late filing fee or oath	
FEE CALCULATION	1052	50	2052	2	Surcharge - Late provisional Filing Fee or cover sheet	
1. BASIC FILING FEE	1053	130	1053	13	Non-English specification	
Large Entity Small Entity	1812	2,520	1812	2,52	Po For Filing a Request for Reexamination. (ex parte)	
Fee Fee Fee	1251	110	2251	5	5 Extension for reply within first month	
Code (\$) Code (\$) Fee Description	1252	410	2252	20	Extension for reply within second month	
1001 750 2001 375 🗵 Utility Filing Fee	1253	930	2253	46	5 Extension for reply within third month	
1002 330 2002 165 ☐Design Filing Fee	1254	1,450	2254	72	25 Extension for reply within fourth month	
1004 750 2004 375 ☐Reissue Filing Fee	1255	1,970	2255	, 98	Extension for reply within fifth month	
1005 160 2005 80 □Prov. Filing Fee	1402	320	2402	16	60 Filing a brief in support of an appeal	
Subtotal (1) \$ 750	1403	280	2403	14	Request for oral hearing	
2. EXTRA CLAIM FEES	1814	110	2814	5	55 Terminal Disclaimer Fee	
Number Prior** Extra Fee from Fee Paid Claims Below	1452	110	2452	. 5	Petition to Revive - unavoidable	
Total 10 20 0 18 0	1453	1,300	2453	65	60 Petition to Revive - unintentional	
Indep. 2 3 0 84 0	1501	1,300	2501	65	Utility/Reissue issue fee (inc. advance copies)	
Multiple Dependent Claims	1502	470	2502	23	Design issue fee (inc. advance copies)	
** Insert 3 and 20, or number previously paid if greater; Reissue see below	1460	130	1460	13	Petitions to the Commissioner	
Large Entity Small Entity Fee Fee Fee Fee Description <u>Code (\$) Code (\$)</u>	1807	50	1807	5	Petitions related to provisional applications	
1202 18 2202 9 Claims in excess of 20	1806	180	1806	18	30 Submission of Information Disclosure	
1201 84 2201 42 Independent claims in excess of 3	1806	100	1000	10	Statement Statement	
1203 280 2203 140 Multiple Dependent Claims 1204 84 2204 42 Reissue Independent Claims over	8021	40	8021	4	Recording each patent assignment per property (times number of properties)	
Original Patent 1205 18 2205 9 Reissue claims in excess of 20 and over original patent		ee (spec	ify)			
Subtotal (2) \$ 0					Subtotal (3) \$	

Signature (Christopher R. Christenson)

Reg. No. <u>42,413</u>

Date 7-18-03